

Jc525 U.S. PTO  
02/08/02

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Approved for use through 10/31/2002 OMB 0551-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO-SB-05 (03-02)  
02/08/02  
DRAFT

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <b>SONYJP 3.0-238</b>
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor <b>Koichi Tanaka</b>
		Title <b>INFORMATION PROCESSING, etc.</b>
		Express Mail Label No. <b>EL804517106US</b>

APPLICATION ELEMENTS		ADDRESS TO: Commissioner for Patents Washington, DC 20231	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit on original, and a duplicate for fee processing)</small> <input type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <b>95</b> ]		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
<b>ACCOMPANYING APPLICATIONS PARTS</b>			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small> 17. <input checked="" type="checkbox"/> Other: <b>Unexecuted Declaration</b>			
16. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:			
Prior application information: Examiner _____ Group / Art Unit: _____ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under box 16, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
9. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label/		or <input type="checkbox"/> Correspondence address below <b>000530</b>	
Name			
Address			
City	State	Zip Code	Fax
Country			
Name (Print/Type)	Robert B. Cohen	Registration No. (Attorney/Agent)	32,768
Signature			Date February 8, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)  
**992.00****METHOD OF PAYMENT** (check all that apply)
 Check     Credit Card     Money Order     Other     None  
 Deposit Account
Deposit Account Number  
**12-1095**Deposit Account Name  
**Lerner, David, Littenberg,  
Krumholz & Mertzlik, LLP**

The Commissioner is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below     Credit any overpayment Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00		
106 330	206 165	Design filing fee			
107 510	207 255	Plant filing fee			
108 740	208 370	Reissue filing fee			
114 160	214 80	Provisional filing fee			
<b>SUBTOTAL (1) (\$)</b>				<b>740.00</b>	

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

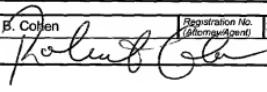
Extra Claims	Fee from below	Fee Paid
Total Claims 14 - 20** =	<input type="checkbox"/>	0.00
Independent Claims 6 - 3** =	<input type="checkbox"/>	84.00
Multiple Dependent	<input type="checkbox"/>	252.00
<b>SUBTOTAL (2) (\$)</b>		<b>252.00</b>

\*\* or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 116	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revoke - unenforceable	
141 1,280	241 640	Petition to revoke - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 450	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petition to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR 1.129(e))	
149 740	249 370	For each additional invention to be examined (37 CFR 1.129(e))	
179 740	279 370	Request for Accelerated Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
<b>*Reduced by Basic Filing Fee Paid</b>		<b>SUBTOTAL (3) (\$)</b>	<b>0.00</b>

\*\* or number previously paid, if greater. For Reissues, see above

**SUBMITTED BY**Name (Print/Type) **Robert B. Cohen**Signature 

Complete (if applicable)

Registration No. (Information Agent) **32,768**Telephone **(908) 518-6316**Date **February 8, 2002**